

Oral Health Care Services Standards

Description:

Oral Health Care Services provide outpatient diagnostic, preventive, and therapeutic services by dental health care professionals, including general dental practitioners, dental specialists, dental hygienists, and licensed dental assistants.ⁱ

All service provision will comply with the Department of Health and Human Services (HHS) Guidelines and the Commonwealth of Virginia Department of Health Service Standards for people living with HIV, including the following:

<u>1.0 Intake and Eligibility</u> <i>National Monitoring Standards: Eligibility determination process requiring documentation in client medical records of low-income status and eligibility based on a specified percent of the FPL and proof of an individual’s HIV-positive status, residency. Determination and documentation of client eligibility every six months.ⁱⁱ</i>	
<i>Health Resources and Services Administration (HRSA), the funder for Ryan White HIV Services, prohibits continued HIV services, including medications to clients who are not recertified for eligibility of services by their specified date; therefore, if a client has not completed their annual certification or recertification at six months they may not be eligible for Ryan White services.ⁱⁱⁱ</i>	
Standard	Measure
Referral	
1.1) Referral for Oral Health Care Services by a Part B provider is documented prior to initiation of the service.	1.1) Documentation of referral for Oral Health Care Services is present in the client’s record, signed and dated.
Eligibility	
1.2) The client’s eligibility for Ryan White Part B services is determined.	1.2) Documentation of the client’s eligibility is present in the client’s record.
1.3) To be eligible for this service applicants must: a) Diagnosed with HIV b) Live in Virginia c) Have an individual or family income at or below 500% of the Federal Poverty Level (FPL) d) Ryan White Part B is the payer of last resort and other funding sources must	1.3) Documentation is present in files that verifies: a) Client is diagnosed with HIV b) Client lives in Virginia c) Client meets income guidelines d) Client Medicaid status (gap of services)

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

<p>be vigorously pursued. Providers are responsible to ensure that clients are screened and deemed ineligible for other payer sources covered by Federal or State programs such as Medicare, Medicaid, all other forms of insurance or third party payers such as private and commercial insurance plans, and other payers.</p> <p>e) Provide recertification every six months with proof of income, changes in insurance coverage, or any changes in residency</p> <p>f) Client eligibility ensures Part B services are used as the payer of last resort. Client must agree to participate in the insurance option client is eligible and that best meets the client’s medical needs regardless of preference.</p> <p><i>Note:</i> The Part B Program is the payer of last resort. This is interpreted as "funds received...will not be utilized to make payments for any item or service to the extent that payment has been made, or can reasonably be expected to be made..." by another payment source.^{iv}</p> <p>Apply through the VDH Central Office or through agency’s eligibility services.</p>	<p>e) Recertification for continued eligibility for Part B services every six months</p> <p>f) Client agrees to participate in insurance option that best meets their medical needs and for which the client is eligible.</p>
Intake	
1.4) Eligibility screening and intake to be completed within 15 days of initial contact with client.	1.4) Documentation of intake and eligibility screening in client record signed and dated by the provider.
Recertification	
1.5) Client must be recertified every six months to continue to receive Ryan White services. There is no grace period.	1.5) Documentation of recertification of the client’s eligibility every six months is present in the client’s record.
<p><u>2.0 Key Services Components</u> <i>Support for Oral Health Services including diagnostic, preventive, and therapeutic dental care that is in compliance with dental practice laws, includes evidence-based clinical decisions that are informed by the American Dental Association Dental Practice Parameters, is based on an oral health treatment plan, adheres to specified service caps, and is provided by licensed and certified dental professionals.^v</i></p>	

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

Standard	Measure
Documentation	
<p>2.1) When a third-party payer provides service, the sub-recipient must maintain a client record. At a minimum, the payer's record and the dental agency's record must contain:</p> <ul style="list-style-type: none"> a) Referral b) Initial assessment c) Individualized treatment plan, including treatment modality, frequency and quantity of treatments d) Documentation of all contacts & dates of service e) Reassessment of treatment plan to include monitoring and assessment of client progress f) Referrals and follow-ups g) Discharge plan. <p>All reports must be signed and dated.</p>	<p>2.1) Signed, dated records including referral</p>
Assessment/Service Plan/Provision of Services	
<p>2.2) A comprehensive oral history and assessment is performed on all clients referred for oral health care. History and assessment includes:</p> <ul style="list-style-type: none"> a) Dental and medical history b) Assessment of the oral cavity c) Assessment of extra-oral and intra-oral hard and soft tissue d) Medication history e) Assessment for HIV-specific oral manifestations f) Appropriate X-rays to assist with diagnosis and treatment g) Periodontal screening/examination h) Client complaints. <p>Initial comprehensive history and assessment may require two visits.</p>	<p>2.2) Documentation of comprehensive oral history and assessment in client's record signed and dated.</p>
<p>2.3) An oral health treatment plan is developed and should:</p> <ul style="list-style-type: none"> a) Include only allowable diagnostic, preventive, and therapeutic services^{vi} 	<p>2.3) Documentation of oral health treatment plan in client's record signed and dated.</p>

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

b) Comply with dental practice laws ↪ Include evidence based clinical decisions that are informed by the American Dental Association Dental Practice Parameters.	
2.4) For clients receiving preventive and therapeutic services Oral Health Treatment Plan is updated at least every 6 months.	2.4) Documentation of updated Oral Health Treatment Plan in client's record at least every six months signed and dated.
2.5) Clients referred for Oral Health Care Services should have an annual oral evaluation to include periodontal screening/examination.	2.5) Documentation of annual oral examination in client's record and signed and dated.
2.6) Client referrals to other services as appropriate, e.g. dental specialty care.	2.6) Documentation of referrals made and status of outcome in client's record signed and dated.
2.7) encourage client to seek routine dental care as recommended by the American Dental Association	2.7) Is there documentation in the client's record encouraging the client to seek routine dental care as recommended by the American Dental Association?
2.8) Offer appropriate dental education material to client	2.8) Is there appropriate dental education material apparent in the waiting room or noted in the client's record that materials were offered?
2.9) Have a client completes a consent for the dental services	2.9) Is there documentation that the Ryan White overseeing agency has given consent for the dental services?
2.10) Give treatment priority to pain, infection, traumatic injuries, or other emergency conditions	2.10) Is there documentation that treatment priority was given to pain, infection, traumatic injuries, or other emergency conditions
Baseline evaluation	
2.10) A completed medical history, existing oral conditions, Patient's chief complaint, Medical alert, if appropriate, Radiographs appropriate for an accurate diagnosis and treatment, Drug history	2.10) Is there documentation in the client's record signed and dated of a baseline evaluation
Treatment Plan	
2.11) Cavities, Missing teeth, Periodontal conditions (Are diagnoses made for each quadrant or sextant to address periodontal conditions, If periodontal disease exists, has a full mouth probing been performed every six months, Has a full mouth series of radiographs	2.11) Is there documentation in the client's record showing concurrence with the standards

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

been conducted to substantiate periodontal disease	
2.12) Have the treatment plan reviewed and updated as needs are identified or at least every 6 months	2.12) Is there signed and dated documentation that the treatment plan was reviewed and updated as needs are identified or at least every 6 months?
2.13) Have the treatment plan evaluated by another dental provider to address the necessity for treatment	2.13) Has the treatment plan been evaluated by another dental provider to address the necessity for treatment?
2.14) Have all services provided recorded signed and dated	2.14) Is there documentation signed and dated that all services provided recorded?
2.15) Have all the prescriptions and drugs dispensed signed and dated	2.15) Is there signed and dated documentation in the client's record of prescriptions and drugs dispensed?
2.16) Give post-operative instructions to client for surgical procedures	2.16) Is there signed and dated documentation in the client's record that post-operative instructions were given for surgical procedures?
2.17) Have record of all pre-medications and local anesthetic used signed and dated	2.17) Is there documentation signed and dated in the client's record of all pre-medications and local anesthetic used?
2.18) Identify if the provider is a third party payer or no	2.18) Is this provider a third party payer?
Extractions	
2.19) Does the client have a need for replacement teeth? Has a removable prosthesis for tooth replacement been considered? Has a fixed prosthesis for tooth replacement been considered? If implants are needed, has a cone beam analysis performed?	2.19) Does the client have a need for replacement teeth?
Documentation	
2.20) Keep updated records including: Medical history, Physical examination, Laboratory reports, Medications, Treatment plan of care, Interim progress notes, Laboratory reports, Referrals and follow-ups.	2.20) There is documentation signed and dated in the client's record of Medical history, Physical examination, Laboratory reports, Medications, Treatment plan of care, Interim progress notes, Laboratory reports, Referrals and follow-ups.

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

Transition and Discharge	
<p>2.21) Client discharged when oral health care services are no longer needed, goals have been met, upon death or due to safety issues. (<i>see</i> 2.22)</p> <p><u>Prior to discharge:</u> Reasons for discharge and options for other service provision should be discussed with client. Whenever possible, discussion should occur face-to-face. If not possible, provider should attempt to talk with client via phone. If verbal contact is not possible, a certified letter must be sent to client's last known address. If client is not present to sign for the letter, it must be returned to the provider.</p> <p><u>Documentation:</u> Client's record must include:</p> <ul style="list-style-type: none"> a) Date services began b) Special client needs c) Services needed/actions taken, if applicable d) Date of discharge e) Reason(s) for discharge f) Referrals made at time of discharge, if applicable. <p><u>Transfer:</u> If client transfers to another location, agency or service provider, transferring agency will provide discharge summary and other requested records within 5 business days of request. If client moves to another area, transferring agency will make referral for needed services in the new location.</p> <p><u>Unable to Locate:</u> If client cannot be located, agency will make and document a minimum of three follow-up attempts on three separate dates (by phone or in person) over a three-month period after first attempt. A certified letter must be mailed to the client's last known mailing address within five business days after the last attempt to notify the client. The letter will state that the case will be closed within 30 days from the date on the letter if an</p>	<p>2.21) Documentation of discharge plan and summary in client's record with clear rationale for discharge within 30 days of discharge, including certified letter, if applicable.</p>

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

<p>appointment with the provider is not made.</p> <p><u>Withdrawal from Service:</u> If client reports that services are no longer needed or decides to no longer participate in the Service Plan, client may withdraw from services. Because clients may withdraw for a variety of reasons it may be helpful to conduct an exit interview to ensure reasons for withdrawal are understood, or identify factors interfering with the client's ability to fully participate if services are still needed. If other issues are identified that cannot be managed by the agency clients should be referred to appropriate agencies.</p> <p><u>Administrative Discharge:</u> Clients who engage in behavior that abuses the safety or violates the confidentiality of others may be discharged. Prior to discharging a client for this reason, the case must be reviewed by leadership according to that agency's policies. Clients who are discharged for administrative reasons must be provided written notification of and reason for the discharge, and must be notified of possible alternative resources. A certified letter that notes the reason for discharge and includes alternative resources must be mailed to the client's last known mailing address within five business days after the date of discharge, and a copy must be filed in the client's chart.</p>	
Case Closure	
<p>2.22) Case will be closed if client:</p> <ul style="list-style-type: none"> a) Has met the service goals; b) Decides to transfer to another agency; c) Needs are more appropriately addressed in other programs; d) Moves out of state; e) Fails to provide updated documentation of eligibility status thus, no longer eligible for services; f) Fails to maintain contact with the oral health assistance staff for a 	<p>2.22) Documentation of case closure in client's record with clear rationale for closure.</p>

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

<p>period of three months despite three (3) documented attempts to contact client;</p> <ul style="list-style-type: none"> g) Can no longer be located; h) Withdraws from or refuses funded services, reports that services are no longer needed, or no longer participates in the individual service plan; i) Exhibits pattern of abuse as defined by agency’s policy. j) Becomes housed in an “institutional” program anticipated to last for a minimum of 30 days, such as a nursing home, prison or inpatient program; or k) Is deceased. 	
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3.0 Client Rights and Responsibilities
National Monitoring Standards: Provision of Part B funded HIV primary medical care and support services, to the maximum extent, without regard to either: the ability of the individual to pay for such services, or the current or past health conditions of the individuals served.^{vii}

Standard	Measure
<p>3.1) Services are available and accessible to any individual who meets program eligibility requirements.</p> <p>All providers shall be in compliance with all applicable federal, state, and local anti-discrimination laws and regulations, including but not limited to the American’s with Disabilities Act. All providers shall adopt a non-discrimination policy prohibiting the refusal of rendering services on the basis of the fact or perception of race, color, creed, religion, national origin, ancestry, age, sex, sexual orientation, gender identity, domestic partner status, marital status, height, weight, disability, or HIV/AIDS diagnosis.</p> <p>Each provider shall make available to clients a process for requesting interpretation services, including American Sign Language.</p>	<p>3.1) Written eligibility requirements and non-discrimination policy on file.</p>

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

<p>3.2) Client's Rights and Responsibilities policy exists which requires each client to sign & date a form indicating they has been offered: a) explanation of the policy, and b) copy of <i>Client's Rights and Responsibilities</i> and to communicate client's understanding of the policy.</p>	<p>3.2) Written policy on file.</p>
<p>3.3) Explanation of <i>Client's Rights and Responsibilities</i> is provided to each client.</p> <p>Client rights include:</p> <ul style="list-style-type: none"> ○ Be treated with respect, dignity, consideration, and compassion; ○ Receive services free of discrimination; ○ Be informed about services and options available. ○ Participate in creating a plan of services; ○ Reach an agreement about the frequency of contact the client will have either in person or over the phone. ○ File a grievance about services received or denied; ○ Not be subjected to physical, sexual, verbal and/or emotional abuse or threats; ○ Voluntary withdraw from the program; ○ Have all records be treated confidentially; <p>Have information released only when:</p> <ul style="list-style-type: none"> ○ A written release of information is signed; ○ A medical emergency exists; ○ There is an immediate danger to the client or others; ○ There is possible child or elder abuse; or ○ Ordered by a court of law. <p>Client responsibilities include:</p> <ul style="list-style-type: none"> ○ Treat other clients and staff with respect and courtesy; ○ Protect the confidentiality of other clients; 	<p>3.3) Current <i>Client's Rights and Responsibilities</i> form signed and dated by client and located in client's record.</p>

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

<ul style="list-style-type: none"> ○ Participate in creating a plan of service; ○ Let the agency know any concerns or changes in needs; ○ Make and keep appointments, or when possible, phone to cancel or change an appointment time; ○ Stay in contact with the agency by informing the agency of change in address and phone number, respond to phone calls and mail ○ Avoid to subject the agency's staff to physical, sexual, verbal and/or emotional abuse or threats. 	
4.0 Grievance Process	
Standard	Measure
<p>4.1) Grievance policy exists which requires each client to sign & date form indicating they has been offered: a) explanation of the policy, and b) copy of <i>Grievance Procedure</i> and to communicate client's understanding of the policy.</p> <p>Policy shall describe the process for resolving client grievances, including identification of whom to contact and applicable timelines.</p> <p>Policy shall be available in languages and formats (e.g. for persons with disabilities) appropriate to populations served.</p>	<p>4.1) Written grievance procedure on file, available in languages and formats appropriate to populations served.</p>
<p>4.2) Explanation of <i>Grievance Procedure</i> is provided to each client.</p> <p>Clients may file a grievance if their request for services is denied or if they have any complaint or concern about the services received.</p>	<p>4.2) Current <i>Grievance Procedure</i> form signed and dated by client and located in client's record.</p>
<p>4.3) Grievance process shall be fair and expeditious for resolution of client grievances.</p>	<p>4.3) Documentation of client grievances, status and resolution.</p>

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

4.4) Review of grievance policy yearly with client signature.	4.4) Current <i>Client's Rights and Responsibilities</i> form signed and dated by client and located in client's record.
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5.0 Personnel Qualifications (including licensure)

National Monitoring Standards: Oral health services are provided by general dental practitioners, dental specialists, dental hygienists and auxiliaries and meet current dental care guidelines. Oral health professionals providing services have appropriate and valid licensure and certification, based on State and local laws^{viii}

Standard	Measure
5.1) All oral health professionals, including third-party payers, have appropriate and valid licensure and certification as required by the Commonwealth of Virginia. a) Dental practitioners, dental specialists and dental hygienists shall be licensed by the Commonwealth of Virginia Board of Dental Examiners. b) Dental Assistants who make x-rays must register with the Virginia Board of Dental Examiners.	5.1) Copy of current licensure/certification for staff providing services in personnel file.
5.2) Malpractice insurance must be secured.	5.2) Documentation of malpractice insurance on file.
5.3) All dental hygienists and dental assistants must be supervised by a licensed dentist.	5.3) Documentation of supervision according to agency policy.
5.4) All oral health professionals must complete 2 hours of continuing education in HIV/AIDS annually.	5.4) Documentation of training completed in personnel file.

6.0 Cultural and Linguistic Competency

Standard	Measure
6.1) Health services are culturally and linguistically competent, client-guided and community based. At a minimum, provider's documentation should include: a) Experience with providing services to the diverse ethnic, linguistic, sexual or cultural populations targeted; b) Capacity of staff, including volunteers and Board, to design, provide and evaluate culturally and linguistically appropriate services; c) List of cultural competency trainings completed by staff.	6.1) Documentation of cultural and linguistic competence as reported in annual Cultural and Linguistic Competency Report.

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

6.2) Easy-to-understand print and multimedia materials and signage in the languages commonly used by the populations in the service area shall be available. ^{ix}	6.2) Culturally and linguistically appropriate materials and signage accessible.
<u>7.0 Privacy and Confidentiality (including securing records)</u>	
Standard	Measure
7.1) Client confidentiality policy exists which include: 1) Release of information requirements, and b) Health Insurance Portability and Accountability Act.	7.1) Written client confidentiality policy on file at provider agency.
7.2) Client's consent for release of information is determined.	7.2) Current <i>Release of Information Form</i> signed and dated by client and provider representative and located in client's record. Each release form indicates who may receive the client's information and has an expiration of not more than 12 months.
7.3) Each client file is stored in a secure location. Electronic client records are protected from unauthorized use.	7.3) Files stored in locked file or cabinet with access limited to appropriate personnel. Electronic files are secure with password protection and access is limited to appropriate personnel.
7.4) Annual submission of <i>Verification of Receipt of Assurance of Key Requirements</i> document by all staff that handle client identifying information.	7.4) Documentation of signed <i>Verification of Receipt of Assurance of Key Requirement</i> forms.
<u>8.0 Quality Management</u>	
<i>National Monitoring Standards: Implement a Clinical Quality Management Program (CQM) to include: a) written QM plan; b) quality expectations for providers and services; c) method to report and track expected outcomes; d) monitoring of provider compliance with HHS treatment guidelines and Part B Program's approved Standards of Care.^x</i>	
Standard	Measure
8.1) Measure and report client health outcomes using Oral Health Care Services measures approved by VDH.	8.1) Performance measurement data on the following indicators: <ul style="list-style-type: none"> • Percentage of persons living with HIV and receiving Oral Health Care Services, regardless of age, who will have at least two care markers in a 12 month period that are at least 3 months apart (Care marker defined as evidence of a HIV medical care visit date, a CD4 count and test date, a viral load value and test date,

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019

	<p>and/or an antiretroviral medication prescription and date).</p> <ul style="list-style-type: none"> • Percentage of people enrolled in RW Part B-funded Program living with HIV and receiving Oral Health Care Services, regardless of age, who will have an HIV viral load less than 200 copies/mL at last HIV viral load test during the measurement year.
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ⁱ HRSA/HAB Ryan White HIV/AIDS Program Services: Clarifications on Eligible Individual & Allowable Uses of Funds, Policy Clarification Notice # 16-02, p. 14. (Revised 10/22/18).

ⁱⁱ HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards—Program Part B (April, 2013), p. 23.

ⁱⁱⁱ HRSA/HAB Ryan White HIV/AIDS Program Services: Clarifications on Ryan White Program Eligibility Determinations and Recertification Requirements Policy Clarification Notice #13-02

^{iv} Public Health Service Act; Sections 2605(a)(6), 2617 (b) (7) (F), 2664 (f) (1), and 2671 (i).

^v HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards—Program Part B (April, 2013), p. 9.

^{vi} HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards—Program Part B (April, 2013), p. 10.

^{vii} HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards—Program Part B (April, 2013), pp. 61-62.

^{viii} HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards—Program Part B (April, 2013), p. 9.

^{ix} National Standards for Culturally and Linguistically Appropriate Services (CLAS) in Health and Health Care. Available at: <https://www.thinkculturalhealth.hhs.gov/assets/pdfs/EnhancedNationalCLASStandards.pdf>

^x HRSA/HAB Division of State HIV/AIDS Programs National Monitoring Standards—Program Part B (April, 2013), p. 71.

Oral Health Care Services

VDH Standards of Services Revised: June 2015, November 2017 and January 2019