

State of Virginia

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: VA0292	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____		(X3) DATE SURVEY COMPLETED 10/28/2021
NAME OF PROVIDER OR SUPPLIER VCU HEALTH CHILDREN'S SERVICES AT BROOK RO.			STREET ADDRESS, CITY, STATE, ZIP CODE 2924 BROOK RD RICHMOND, VA 23220		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE	
F 000	Initial Comments An unannounced biennial State Licensure Inspection was conducted 10/26/21 through 10/28/21. Corrections are required for compliance with the following with the Virginia Rules and Regulations for the Licensure of Nursing Facilities. There were no complaints investigated. The census in this 47 certified facility was 26 at the time of the survey. The survey sample consisted of 14 current record reviews and 0 closed record reviews.	F 000			
F 001	Non Compliance The facility was out of compliance with the following state licensure requirements: This RULE: is not met as evidenced by: 12VAC5-371-110. Management and administration. 12VAC5-371-140. Policies and procedures. Based on 10 employee records reviewed and facility document review, it was determined that the facility staff failed to obtain a sworn statement for six of 10 new employee hire records reviewed: OSM #4, OSM #5, LPN #2, LPN #3, LPN #5, and LPN #6. The findings include: 12VAC5-371-110. Management and administration. B. The nursing facility must comply with: 1. These regulations (12VAC5-371); 2. Other applicable federal, state or local laws and regulations; and	F 001			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Therese Darby DNP, RN, NHA

*Assoc VP - Operations
Nursing Home Administrator*

11/3/21

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F 001	<p>Continued From page 1</p> <p>3. Its own policies and procedures.</p> <p>12VAC5-371-140. Policies and procedures.</p> <p>E. Personnel policies and procedures shall include, but are not limited to:</p> <p>3. An accurate and complete personnel record for each employee including:</p> <p>a. Verification of current professional license, registration, or certificate or completion of a required approved training course;</p> <p>b. Criminal record check</p> <p>The Code of Virginia (§ 32.1-126.01. Employment for compensation of persons convicted of certain offenses prohibited; criminal records check required; suspension or revocation of license.) requires "Any person desiring to work at a licensed nursing home shall provide the hiring facility with a sworn statement or affirmation disclosing any criminal convictions or any pending criminal charges, whether within or outside the Commonwealth. Any person making a materially false statement when providing such sworn statement or affirmation regarding any such offense is guilty upon conviction of a Class 1 misdemeanor...."</p> <p>On 10/28/21, the Criminal Records Check / New Employee Hire records review was conducted. There were 10 employee records reviewed. Of the 10 records reviewed, 6 were found to be missing the "Sworn Statement." These 6 records were:</p> <p>OSM #4 (Other Staff Member) a respiratory therapist hired on 2/4/21.</p> <p>OSM #5, a recreational therapist hired on 10/17/21.</p> <p>LPN #2 (Licensed Practical Nurse), hired on 5/30/21.</p>	F 001		

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F 001	<p>Continued From page 2</p> <p>LPN #3, hired on 8/22/21. LPN #5, hired on 5/30/21. LPN #6, hired on 7/11/21.</p> <p>On 10/28/21 at approximately 8:45 AM, OSM #1 (Other Staff Member) the HR [human resources] Compliance Specialist, stated that as of July 1, 2020, the "sworn statement" was removed from the job application and was not obtained in any other form.</p> <p>A review of the facility policy, "Hiring Practices Policy" documented, "7.1.1 Applicants must disclose: any criminal charges in progress or criminal convictions occurring after the age of 18, whether felony or misdemeanor, including the nature of the charge/conviction and the date of occurrence. Omissions or misrepresentation of information is considered falsification of the application and may result in a rescinded offer or termination of employment if currently employed when discovered...."</p> <p>On 10/28/21 at approximately 11:30 AM, ASM #1 (Administrative Staff Member) the Administrator, was made aware of the findings.</p>	F 001	<ol style="list-style-type: none"> 1. The sworn statement was added back into the onboarding process effective 11/1/2021. (There was a change in practice, effective July, 2020 which resulted in the removal of the statement at that time.) No residents experienced any adverse outcome due to this deficient practice. Also, state and universal background checks were completed prior to hire on all ten employee records. 2. No residents experienced any adverse outcome due to this deficient practice. All state and universal background checks were completed and cleared prior to first day of employment. 3. The sworn statement was included in the onboarding process, effective 11/1/2021. All new hires from this date forward will sign this sworn statement as part of the pre-employment process. 4. The Human Resources Department conducts a quality control review to assure all required components are present for the hiring and onboarding processes, including the sworn statement. Specific performance of compliance with the sworn statement will be reported to the QAPI meetings for the next two quarters. 5. Corrective action in place 11/1/2021. 	<p>11/1/2021</p> <p>12/1/2021</p> <p>11/1/2021</p>